

# Bounds On The Second Stage Spectral Radius Of Graphs

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**Abstract**—Let  $G$  be a graph of order  $n$ . The second stage adjacency matrix of  $G$  is the symmetric  $n \times n$  matrix for which the  $i_j^{th}$  entry is 1 if the vertices  $v_i$  and  $v_j$  are of distance two; otherwise 0. The sum of the absolute values of this second stage adjacency matrix is called the second stage energy of  $G$ . In this paper we investigate a few properties and determine some upper bounds for the largest eigenvalue.

**Keywords**—Second stage spectral radius; Irreducible matrix; Derived graph.

## I. INTRODUCTION

Let  $G$  be a connected graph with vertex set  $V(G) = \{v_1, v_2, \dots, v_n\}$ . The second stage adjacency matrix is denoted by  $A_2(G)$  and the second stage energy by  $E_2(G)$ . As it is symmetrical it will be an adjacency matrix for some graph  $G'$  which we call the derived graph of  $G$ . If  $\Delta'$  is the maximum degree of  $G'$  then clearly  $\Delta' \leq \Delta$ . Irreducibility of the adjacency matrix is related to the property of connectedness[2]. Hence  $A_2(G)$  is irreducible if and only if the derived graph  $G'$  is connected. Proposition 2.1 guarantees plenty of graphs for which their derived graphs are connected, for example, the Peterson graph whose derived graph is a 6-regular graph. In this paper we consider only those graphs for which  $A_2(G)$  is irreducible.

## II. SOME PROPERTIES

The derived graph of any odd cycle  $C_{2m-1} = \langle v_1, v_2, \dots, v_{2m-1} \rangle$  is the odd cycle  $C_{2m-1} = \langle v_1, v_3, v_5, \dots, v_{2m-1}, v_2, \dots, v_{2m-2} \rangle$ . This motivates to enunciate the following proposition:

**Proposition 2.1.** Let  $G$  be a graph having  $C_{2m-1} = \langle v_1, v_2, \dots, v_{2m-1} \rangle$  as an induced subgraph for some  $m \geq 3$ . If (i)  $\Delta \leq n - 2$  and (ii) for every  $u \in V(G) - V(C_{2m-1})$ , there exist at least one  $v_j \notin N(u)$ ,  $j \in \{1, 2, \dots, 2m - 1\}$ , then the derived graph is connected.

**Proof:** As mentioned above the induced subgraph  $\langle v_1, v_2, \dots, v_{2m-1} \rangle$  is connected in  $G'$ . Choose any vertex  $u \neq v_i$  for all  $i = 1, 2, \dots, 2m - 1$  and let  $v_j$  be a vertex in  $C_{2m-1}$  which is not in  $N(u)$ .

Case 1.  $N(u) \cap \{v_1, v_2, \dots, v_{2m-1}\} = \phi$ .

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Let  $u = u_1 u_2 \dots u_r = v_i$  be the shortest path from  $u$  to  $C_{2m-1}$  of length  $r$ .

Case 1.1.  $r$  is even, then we have

$d(u = u_1, u_3) = d(u_3, u_5) = \dots = d(u_{r-2}, u_r = v_i) = 2$  and so the derived graph has the path  $u u_3 u_5 \dots u_{r-2} v_i$ .

Case 1.2.  $r$  is odd, then we have  $u u_3 u_5 \dots u_{r-1} v_{i+1}$  is a path in the derived graph.

Case 2.  $N(u) \cap \{v_1, v_2, \dots, v_{2m-1}\} \neq \phi$ .

Choose a vertex  $v_k \in N(u) \cap \{v_1, v_2, \dots, v_{2m-1}\}$  such that  $v_k$  is nearest to  $v_j$ . If  $k = j \pm 1$ , then  $d(u, v_j) = 2$ . Otherwise  $v_l$  is of distance two from  $u$  where  $l = k \pm 1$ , i.e.,  $d(u, v_l) = 2$ .

**Proposition 2.2.** Let  $G$  be a  $r$ -regular graph with order  $n$  such that  $n = 2r + 1$ . Then the derived graph  $G'$  of  $G$  is also  $r$ -regular.

**Proof:** Clearly  $r$  is even. Choose any vertex  $v_i$ . Let  $v_k$  be a vertex such that  $v_k \in N(v_i)$ .

**Claim:**  $d(v_k, v_i) = 2$ . Otherwise,  $v_k \notin N(v_j)$  for all  $v_j \in N(v_i)$ . This implies  $deg(v_k) \leq (2r+1) - (r+2) = r-1$ , which is a contradiction since  $deg(v_k) = r$ .

**Remark:** Converse of the above proposition is not true. For example, consider any odd cycle other than  $C_5$ . It is 2-regular and its derived graph being an odd cycle is also 2-regular. But  $n \neq 2r + 1$ .

**Proposition 2.3.** The derived graph of circulant graph is a circulant graph.

**Proof:** Let  $G$  be a circulant graph formed by the set  $S \subseteq \{1, 2, \dots, n\}$ . Then  $i \in S$  if and only if  $n - i \in S$  [1]. Consider a vertex  $v_i$ . Let  $v_k \in D(v_i)$ . Then there exists a vertex  $v_j$  such that  $v_j$  is adjacent to  $v_i$  and  $v_k$ . Then by the definition of circulant graph,  $v_{n-k}$  is also adjacent to  $v_j$  and so  $v_{n-k} \in D(v_i)$ . Thus,  $G'$  is formed by a set  $S' \subseteq \{1, 2, \dots, n\}$  such that  $k \in S'$  if and only if  $n - k \in S'$  and hence  $G'$  is also circulant.

**Proposition 2.4.** Given any positive integer  $n$  of the form  $p^r$  where  $p$  is a prime number and  $r$  is a positive integer, there exists a graph  $G$  for which the second stage energy is  $2(p-1)p^{r-1}$ .

**Proof:** Let  $G$  be the complement of the circulant graph  $H$  formed by the set  $S = \{\alpha_1, \alpha_2, \dots, \alpha_k\}$  where  $\alpha_i$ 's are all numbers less than  $n$  and prime to  $n$ . Then the derived graph of  $G$  is the circulant graph  $H$  whose energy is  $2(p-1)p^{r-1}$  [1]. Hence  $E_2(G) = E(H) = 2(p-1)p^{r-1}$ .

**Theorem 2.5.** Let  $D(v_i) = \{v_j : d(v_i, v_j) = 2\}$ . Then for each fixed

$i = 1, 2, \dots, n$ ,  $|D(v_i)| = S_1 - S_2$ , where

$$S_1 = \sum_{v_j \text{ adj } v_i \text{ and } v_j \text{ nonpendent}} |N(v_j)| - |N[v_i] \cap N(v_j)| \text{ and } S_2 = \sum_{v_k \in D(v_i)} (l_k - 1), \text{ where } l_k \text{ is the number of vertices which are adjacent to both } v_i \text{ and } v_k.$$

Proof: If we take any vertex  $v_j$  adjacent to  $v_i$ , then all members of  $N(v_j)$  need not be in  $D(v_i)$ ; because some neighbours of  $v_j$  may be neighbours of  $v_i$  and so  $v_j$  can contribute only  $|N(v_j)| - |N[v_i] \cap N(v_j)|$  number of members to  $D(v_i)$ . Similarly for all other neighbours of  $v_i$ . Therefore, the total number of members contributed by the neighbours of  $v_i$  is

$$\sum_{v_j \text{ adj } v_i \text{ and } v_j \text{ nonpendent}} \{|N(v_j)| - |N[v_i] \cap N(v_j)|\}, \text{ which can also be written as}$$

$$S_1 = \sum_{v_j \text{ adj } v_i \text{ and } v_j \text{ nonpendent}} |N(v_j)| - \sum_{v_j \text{ adj } v_i \text{ and } v_j \text{ nonpendent}} |N[v_i] \cap N(v_j)|.$$

Among these  $S_1$  members, some may appear more than once. For example, a member  $v_k$  of  $D(v_i)$  may have neighbours  $v_1, v_2, \dots, v_{l_k}$  which all are in turn neighbours of  $v_i$  also. Thus,  $v_k$  is repeated say  $l_k$  times in  $S_1$ . But it should be taken only once. Thus we get the required result.

Corollary 2.6. If the second stage adjacency matrix is irreducible, then

$$|D(v_i)| \leq 2m - 2d_i - \delta + \epsilon_{F_i} \text{ where } \epsilon_{F_i} \text{ is the number of pendent vertices adjacent to } v_i$$

Proof: We observe that  $v_i$  is included as many times as  $d_i - \epsilon_{F_i}$

$$\text{in } \sum_{v_j \text{ adj } v_i \text{ and } v_j \text{ nonpendent}} |N[v_i] \cap N(v_j)|.$$

Hence  $\sum_{v_j \text{ adj } v_i \text{ and } v_j \text{ nonpendent}} |N[v_i] \cap N(v_j)| \geq d_i - \epsilon_{F_i}$ . Therefore

$$D(v_i) \leq S_1 \leq \sum_{v_j \text{ adj } v_i \text{ and } v_j \text{ nonpendent}} |N(v_j)| - d_i + \epsilon_{F_i} \quad (1)$$

Since the second stage adjacency matrix is irreducible, for each vertex  $v_i$ , there is atleast one vertex  $v_k$  which is non adjacent to  $v_i$ . Therefore

$$\sum_{v_j \text{ adj } v_i \text{ and } v_j \text{ nonpendent}} |N(v_j)| \leq 2m - d_i - \delta \quad (2)$$

Combining (1) and (2), we get  $D(v_i) \leq 2m - 2d_i - \delta + \epsilon_{F_i}$ .

### III. BOUNDS FOR THE LARGEST EIGENVALUE

Theorem 3.1. Let G be a graph with minimum degree  $\delta \geq 1$  and maximum degree  $\Delta$ , then

$$\rho(G) \leq \sqrt{2\Delta(m+n-\delta-1) - 4m + \delta(2-\delta) + A}, \text{ where } A = \epsilon_F(2\Delta + \delta + 1) \text{ and } \epsilon_F \text{ is the number of pendent vertices of G.}$$

Proof:

Proof: Let  $D(v_i) = \{v_j : d(v_i, v_j) = 2\}$ . Let  $D_1(v_i) = \{v_j : d(v_i, v_j) \neq 2\}$  and let  $D'_1(v_i) = D_1(v_i) - \{v_i\}$ . Let  $x = (x_1, x_2, \dots, x_n)^T$  be the unit eigenvector corresponding to  $\rho(G)$ . Then  $\rho(G)x_i = \sum_{j=1}^n a_{ij}x_j$ . By Cauchy- Schwarz inequality,

$$\begin{aligned} \rho^2(A)x_i^2 &= (\sum_{j=1}^n a_{ij}(a_{ij}x_j))^2 \\ &\leq \sum_{j=1}^n a_{ij}^2 \sum_{j=1}^n (a_{ij}x_j)^2 \\ &\leq (2m - (2d_i + \delta - \epsilon_{F_i})) \sum_{j \in D(v_i)} x_j^2, \text{ by using corollary 2.6.} \end{aligned}$$

Hence

$$\begin{aligned} \rho(G)^2 &= \sum_{i=1}^n \rho(G)^2 x_i^2 \\ &\leq \sum_{i=1}^n (2m - (2d_i + \delta - \epsilon_{F_i})) \sum_{j \in D(v_i)} x_j^2 \\ &= \sum_{i=1}^n (2m - (2d_i + \delta - \epsilon_{F_i})) (1 - \sum_{j \in D_1(v_i)} x_j^2) \\ &= \sum_{i=1}^n (2m - (2d_i + \delta - \epsilon_{F_i})) - \sum_{i=1}^n (2m - (2d_i + \delta - \epsilon_{F_i})) \sum_{j \in D_1(v_i)} x_j^2 \\ &= 2mn - 4m - n\delta + \epsilon_F - \sum_{i=1}^n (2m - (2d_i + \delta - \epsilon_{F_i})) \sum_{j \in D_1(v_i)} x_j^2 \quad (3) \end{aligned}$$

In (3), we estimate,  $-\sum_{i=1}^n (2m - (2d_i + \delta - \epsilon_{F_i})) \sum_{j \in D_1(v_i)} x_j^2$

$$= -\sum_{i=1}^n 2m \sum_{j \in D_1(v_i)} x_j^2 + \sum_{i=1}^n (2d_i + \delta - \epsilon_{F_i}) \sum_{j \in D_1(v_i)} x_j^2 \quad (4)$$

Now, consider

$$\begin{aligned} &\sum_{i=1}^n (2d_i + \delta - \epsilon_{F_i}) \sum_{j \in D_1(v_i)} x_j^2 \\ &= \sum_{i=1}^n (2d_i + \delta - \epsilon_{F_i}) x_i^2 + \sum_{i=1}^n (2d_i + \delta - \epsilon_{F_i}) \sum_{j \in D'_1(v_i)} x_j^2 \\ &= \sum_{i=1}^n 2d_i x_i^2 + \sum_{i=1}^n \delta x_i^2 - \sum_{i=1}^n \epsilon_{F_i} x_i^2 + \sum_{i=1}^n 2d_i \sum_{j \in D'_1(v_i)} x_j^2 + \sum_{i=1}^n \delta \sum_{j \in D'_1(v_i)} x_j^2 \\ &\leq \sum_{i=1}^n 2d_i x_i^2 + \delta \sum_{i=1}^n x_i^2 + \sum_{i=1}^n 2d_i \sum_{j \in D'_1(v_i)} x_j^2 + \sum_{i=1}^n \delta \sum_{j \in D'_1(v_i)} x_j^2 \\ &\leq 2 \sum_{i=1}^n d_i x_i^2 + \delta + 2\Delta \sum_{i=1}^n \sum_{j \in D'_1(v_i)} x_j^2 + \delta \sum_{i=1}^n \sum_{j \in D'_1(v_i)} x_j^2 \\ &= 2 \sum_{i=1}^n d_i x_i^2 + \delta + 2\Delta \sum_{i=1}^n (n - (d_i - \epsilon_{F_i}) - 1) x_i^2 + \delta \sum_{i=1}^n (n - (d_i - \epsilon_{F_i}) - 1) x_i^2 \\ &= 2 \sum_{i=1}^n d_i x_i^2 + \delta + 2\Delta \sum_{i=1}^n (n - d_i - 1) x_i^2 + 2\Delta \sum_{i=1}^n \epsilon_{F_i} x_i^2 + \delta \sum_{i=1}^n (n - d_i - 1) x_i^2 + \delta \sum_{i=1}^n \epsilon_{F_i} x_i^2 \\ &\leq 2 \sum_{i=1}^n d_i x_i^2 + \delta + 2\Delta \sum_{i=1}^n (n - d_i - 1) x_i^2 + 2\Delta \epsilon_F \sum_{i=1}^n x_i^2 + \delta \sum_{i=1}^n (n - d_i - 1) x_i^2 + \delta \epsilon_F \\ &= 2 \sum_{i=1}^n d_i x_i^2 + \delta + 2\Delta \sum_{i=1}^n (n - d_i - 1) x_i^2 + 2\Delta \epsilon_F + \delta \sum_{i=1}^n (n - d_i - 1) x_i^2 + \delta \epsilon_F \\ &= \Delta(2 \sum_{i=1}^n d_i x_i^2 + 2 \sum_{i=1}^n (n - d_i - 1) x_i^2) - (2\Delta - 2) \sum_{i=1}^n d_i x_i^2 + \delta + 2\Delta \epsilon_F + \delta(n-1) - \delta \sum_{i=1}^n d_i x_i^2 + \delta \epsilon_F \\ &\leq 2\Delta(n-1) - 2(\Delta-1)\delta + \delta + 2\Delta \epsilon_F + \delta(n-1) - \delta\delta + \delta \epsilon_F \\ &= 2\Delta(n-1) - 2\delta(\Delta-1) + \delta + \delta(n-1) - \delta^2 + 2\Delta \epsilon_F + \delta \epsilon_F \\ &= 2\Delta(n-1) + \delta(-2(\Delta-1) + 1 + (n-1) - \delta) + \epsilon_F(2\Delta + \delta) \\ &= 2\Delta(n-1) + \delta(n-2(\Delta-1) - \delta) + \epsilon_F(2\Delta + \delta) \quad (5) \end{aligned}$$

In a similar fashion, we have  $-\sum_{i=1}^n 2m \sum_{j \in D_1(v_i)} x_j^2$

$$\begin{aligned} &= -\sum_{i=1}^n 2m x_i^2 - \sum_{i=1}^n 2m \sum_{j \in D'_1(v_i)} x_j^2 \\ &= -2m - 2m \sum_{i=1}^n \sum_{j \in D'_1(v_i)} x_j^2 \\ &= -2m - 2m \sum_{i=1}^n (n - (d_i - \epsilon_{F_i}) - 1) x_i^2 \\ &= -2m - 2m \sum_{i=1}^n (n - d_i - 1) x_i^2 - 2m \sum_{i=1}^n \epsilon_{F_i} x_i^2 \end{aligned}$$

$$\begin{aligned} &\leq -2m - 2m \sum_{i=1}^n (n - d_i - 1)x_i^2 \\ &= -2m - 2m \sum_{i=1}^n nx_i^2 + 2m \sum_{i=1}^n d_i x_i^2 + 2m \sum_{i=1}^n x_i^2 \\ &= -2m - 2mn + 2m \sum_{i=1}^n d_i x_i^2 + 2m \\ &\leq -2mn + 2m\Delta \end{aligned} \quad (6)$$

From (3),(4),(5),(6), we get,  
 $\rho(G)^2 \leq (2mn - 4m - n\delta + \epsilon_F) + (2\Delta(n - 1) + \delta(n - 2(\Delta - 1) - \delta) + \epsilon_F(2\Delta + \delta)) - 2mn + 2m\Delta$   
 $= -4m - n\delta + \epsilon_F + 2\Delta(n - 1) + \delta(n - 2(\Delta - 1) - \delta) + \epsilon_F(2\Delta + \delta) + 2m\Delta$   
 $= -4m + \epsilon_F + 2\Delta(n - 1) - \delta(2(\Delta - 1) + \delta) + \epsilon_F(2\Delta + \delta) + 2m\Delta$   
 $= -4m + 2m\Delta + 2\Delta(n - 1) - \delta(2(\Delta - 1) + \delta) + \epsilon_F(2\Delta + \delta + 1)$   
 $= -4m + 2m\Delta + 2n\Delta - 2\Delta - 2\delta\Delta + 2\delta - \delta^2 + \epsilon_F(2\Delta + \delta + 1)$   
 $= -4m + 2\Delta(m + n - \delta - 1) + (2\delta - \delta^2) + \epsilon_F(2\Delta + \delta + 1)$

Hence  
 $\rho(G) \leq \sqrt{2\Delta(m + n - \delta - 1) - 4m + \delta(2 - \delta) + A}$ , where  
 $A = \epsilon_F(2\Delta + \delta + 1)$ . ■

Let B be an  $n \times n$  matrix and let  $S_i(B)$  denote the  $i^{th}$  row sum of B, i.e.,  $S_i(B) = \sum_{j=1}^n B_{ij}$ , where  $1 \leq i \leq m$ .

Lemma 3.2. Let G be a connected n-vertex graph and  $A_2$  its second stage adjacency matrix, with spectral radius  $\rho$ . Let P be any polynomial. If  $A_2$  is irreducible, then,  
 $\min_{v \in V(G)} S_v(P(A_2)) \leq P(\rho) \leq \max_{v \in V(G)} S_v(P(A_2))$

Moreover, if the row sums of  $P(A_2)$  are not all equal then both inequalities are strict.

Proof: Since  $A_2$  is irreducible, the proof is just analogous to that of Lemma 2.2 in [4].

Lemma 3.3. For each fixed  $i=1,2, \dots, n$ ,  
 $S_{v_i}(A_2^2) = |D(v_i)| + \sum_{i \neq j} \sum_k |\{v_k : d(v_k, v_i) = 2 \text{ and } d(v_k, v_j) = 2\}|$

Proof:  $i, j^{th}$  entry in  $b_{ij}$  in  $A_2^2 = \sum_{k=1}^n a_{ik}a_{kj}$   
 Case 1. Let  $i = j$ , then  $b_{ii} = \sum_{k=1}^n a_{ik}a_{ki}$

$$= |D(v_i)| \quad (7)$$

Case 2. Let  $i \neq j$ ,  $a_{ik}a_{kj} = 1$  if and only if  $a_{ik} = 1$  and  $a_{kj} = 1$   
 $a_{ik}a_{kj} = 1$  if and only if  $d(v_k, v_i) = 2$  and  $d(v_k, v_j) = 2$ .  
 Therefore

$$b_{ij} = \sum_k |\{v_k : d(v_k, v_i) = 2 \text{ and } d(v_k, v_j) = 2\}| \quad (8)$$

$S_{v_i}(A_2^2) = b_{ii} + \sum_{i \neq j} b_{ij}$   
 $= |D(v_i)| + B$  where  
 $B = \sum_{i \neq j} \sum_k |\{v_k : d(v_k, v_i) = 2 \text{ and } d(v_k, v_j) = 2\}|$ ,  
 using (7) and (8).

Let G be a simple graph with n vertices and m edges. Let  $\delta = \delta(G)$  be the minimum degree of vertices of G and  $\rho(G)$  be the spectral radius of the adjacency matrix A of G. Then in [6] it is proved that,  
 $\rho(G) \leq (\delta - 1 + \sqrt{(\delta + 1)^2 + 4(2m - \delta n)})/2$ .  
 Corresponding to the above result, we have the following theorem for the second stage matrix.

Theorem 3.4. Let G be a simple graph with n vertices and m edges. Let  $\Delta = \Delta(G)$  be maximum degree of vertices of G and  $\rho(G)$  be the spectral radius of the second stage adjacency matrix  $A_2$  of G. Then  $\rho(G) \leq (1 + \sqrt{4(n - 1)\Delta})/2$ . Proof: Since  $S_{v_i}(A_2^2) = |D(v_i)| + \sum_{i \neq j} \sum_k |\{v_k : d(v_k, v_i) = 2 \text{ and } d(v_k, v_j) = 2\}|$   
 $\frac{S_{v_i}(A_2^2)}{S_{v_i}(A_2)} = \frac{|D(v_i)| + \sum_{i \neq j} \sum_k |\{v_k : d(v_k, v_i) = 2 \text{ and } d(v_k, v_j) = 2\}|}{\sum_k |\{v_k : d(v_k, v_i) = 2 \text{ and } d(v_k, v_j) = 2\}|} \leq (n - 1)\Delta$ . As this holds for every vertex  $v \in V(G)$ . Lemma 3.2 implies that  $\rho(G)^2 - \rho(G) \leq (n - 1)\Delta$ . Solving the quadratic inequality, we obtain  $\rho(G) \leq (1 + \sqrt{4(n - 1)\Delta})/2$ .

For a non regular graph, many upper bounds for the largest eigenvalue of adjacency matrix are found. One such upper bound is  $\lambda_1 \leq \Delta - (1/2n(n\Delta - 1)\Delta^2)$  [5]. In the following theorem we find a similar upper bound for our second stage concept.

Theorem 3.5. If G is connected and not regular, then  $\lambda_1 \leq \Delta - (1/4\Delta^2n(2m - 3\delta + \epsilon_F))$ .

Proof: Let x be a positive unit eigenvector of  $A_2(G)$  corresponding to  $\lambda_1$ . We have that  $\lambda_1 = \lambda_1 \|x\|^2$

$$= \lambda_1 \sum_{v_i \in V} x_i^2 = 2 \sum_{d(v_i, v_j)=2} x_i x_j$$

Since the maximum degree of G is  $\Delta$  and G is not regular, we have

$$\begin{aligned} \Delta &= \Delta \|x\|^2 > \sum_{v_i \in V} |D_i| x_i^2 \\ \text{Thus, } \Delta - \lambda_1 &> \sum_{v_i \in V} |D_i| x_i^2 - 2 \sum_{d(v_i, v_j)=2} x_i x_j \\ &= \sum_{v_i \in V} \sum_{v_j \in D(v_i)} x_i^2 - 2 \sum_{d(v_i, v_j)=2} x_i x_j \\ &= \sum_{d(v_i, v_j)=2} (x_i^2 + x_j^2 - 2x_i x_j) \\ &= \sum_{d(v_i, v_j)=2} (x_i - x_j)^2 \end{aligned}$$

From Cauchy-schwarz inequality and  $|D(v_i)| \leq 2m - 2d_i - \delta + \epsilon_{F_i}$ , it follows that  $\sum_{d(v_i, v_j)=2} (x_i - x_j)^2 \geq (1/|D(v_i)|)(\sum_{d(v_i, v_j)=2} |x_i - x_j|)^2$   
 $\geq (1/2m - 2d_i - \delta + \epsilon_{F_i})(\sum_{d(v_i, v_j)=2} |x_i - x_j|)^2$   
 $\geq (1/2m - 3\delta + \epsilon_F)(\sum_{d(v_i, v_j)=2} |x_i - x_j|)^2$

Let u and v be the vertices of derived graph G such that  $x_u = \max_{v_i \in V} x_i$  and  $x_v = \min_{v_i \in V} x_i$  and let  $u = w_0 w_1 \dots w_k = v$  be a path between u and v in the derived graph G. Then

$$\begin{aligned} \sum_{\{v_i, v_j\} \in E} |x_i - x_j| &\geq \sum_{l=0}^{k-1} x_{w_l} - x_{w_{l+1}} \\ &\geq \sum_{l=0}^{k-1} (x_{w_l} - x_{w_{l+1}}) \\ &= x_{w_0} - x_{w_k} \\ &= x_u - x_v. \end{aligned}$$

We have  $\Delta - \lambda_1 > (1/2m - 3\delta + \epsilon_F)(x_u - x_v)^2$ . It remains to estimate  $x_u - x_v$ . Since  $\sum_{v_i \in V} x_i^2 = 1$ , we have  $x_u \geq 1/\sqrt{n}$  and  $x_v \leq 1/\sqrt{n}$ . There are three cases to consider.

Case Ia:  $x_u \geq 1/\sqrt{n} + c$ . Then  $x_v < 1/\sqrt{n}$  and  $\Delta - \lambda_1 > (c^2/2m - \delta + \epsilon_F)$

Case Ib:  $x_v \leq 1/\sqrt{n} - c$ . Then  $x_u > 1/\sqrt{n}$  and again  $\Delta - \lambda_1 > (c^2/2m - \delta + \epsilon_F)$  Case II :  $1/\sqrt{n} - c < x_v < x_u < 1/\sqrt{n} + c$ . Then  $x_i \in (1/\sqrt{n} - c, 1/\sqrt{n} + c)$ . Then  $x_i \in (1/\sqrt{n} - c, 1/\sqrt{n} + c)$  holds for each  $v_i \in V$ , and by choosing  $s \in V'$  with  $d_s < \Delta' - 1$ , which is regular, we get  $\lambda_1(1/\sqrt{n} - c) < \lambda_1 x_s$

$= \sum_{\{t:\{s,t\} \in E'\}} x_t < (\Delta' - 1)(1/\sqrt{n} + c)$   
 where  $\Delta' = \max D(v_i)$ ,  $i = 1, 2, \dots, n$  and  $E'$  is the edge set of  $G'$  which implies,

$\lambda_1 < (\Delta' - 1)(1 + c\sqrt{n}/1 - c\sqrt{n})$ . In order for the expression on the RHS to be useful, it must be less than  $\Delta'$ , which is satisfied for  $c < 1/(2\Delta' - 1)\sqrt{n}$ . Put  $c = 1/2\Delta'\sqrt{n}$  in cases Ia and Ib, we get,

$$\Delta - \lambda_1 > 1/(2m - 3\delta + \epsilon_F)4(\Delta')^2n$$

$$\lambda_1 < \Delta - (1/(2m - 3\delta + \epsilon_F)4(\Delta')^2n)$$

While in  $\lambda_1 < (\Delta' - 1)(1 + \sqrt{n}/2\Delta'\sqrt{n}/1 - \sqrt{n}/2\Delta'\sqrt{n})$

$$< (\Delta' - 1)(2\Delta' + 1/2\Delta' - 1)$$

$$= (2\Delta^2 + \Delta' - 2\Delta' - 1/2\Delta' - 1)$$

$$= \Delta' - (1/2\Delta' - 1)$$

This implies  $\lambda_1 < \Delta' - (1/2\Delta' - 1)$

$$< \Delta - (1/4(\Delta')^2(2m - 3\delta + \epsilon_F))$$

$$< \Delta - (1/4(\Delta)^2n(2m - 3\delta + \epsilon_F))$$

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