

Assessment of Landslide Volume for Alishan Highway Based On Database of Rainfall-Induced Slope Failure

Yun-Yao Chi, Ya-Fen Lee

Abstract—In this paper, a study of slope failures along the Alishan Highway is carried out. An innovative empirical model is developed based on 15-year records of rainfall-induced slope failures. The statistical models are intended for assessing the volume of landslide for slope failure along the Alishan Highway in the future. The rainfall data considered in the proposed models include the effective cumulative rainfall and the critical rainfall intensity. The effective cumulative rainfall is defined at the point when the curve of cumulative rainfall goes from steep to flat. Then, the rainfall thresholds of landslide are established for assessing the volume of landslide and issuing warning and/or closure for the Alishan Highway during a future extreme rainfall. Slope failures during Typhoon Saola in 2012 demonstrate that the new empirical model is effective and applicable to other cases with similar rainfall conditions.

Keywords—Slope failure, landslide, volume, model, rainfall thresholds.

I. INTRODUCTION

IN Taiwan, two-thirds of the total land area is in the hill area and other in the plains. Therefore, the hill areas have to be developed and utilized when the plains can no longer meet the demand of the population and the economic activities. Rapid development of the communities in the hill areas has increased the impact of landslides, in terms of loss of properties and lives, in these areas. Taiwan is located at the collided subduction zone of the Philippine Sea Plate and the Eurasian plate, which results in many folds and faults in the formed mountains. Taiwan is also located in the western North Pacific typhoon belt. Due to a number of reasons such as typhoons, storms, rainy season, weakness of ecological environmental, and hillside development, the landslides and debris flows often occur, which cause the blockage of roadways, isolation of the mountain areas, and loss of properties and lives.

The disaster prevention along roadways in the hills areas should first rely on warning and prevention. To enhance the landslide volume of the highway slope-failure assessments system, a variety of factors should be considered and their impacts should be studied. In Taiwan, considering that rainfall is one of the direct causes of slope failure in the hills areas, the rainfall is selected as the basis for the proposed models in this paper.

Here, the slopes along the most important access roadway

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from the plains to the mountain of Chiayi area, namely, the Alishan Highway (Tai-18), are examined. In this study, the empirical models of landslide volume are established based on a database of slope failures along Tai-18, caused by heavy rainfall, over a period of 15 years. The results provide the prediction formulas for calculating the landslide volume for hazard managing Tai-18.

II. REVIEWS

A. Relations between Slope Failure and Rainfall

Slope failure is triggered by both earthquake and rainfall in Taiwan. For the rainfall-induced slope failures, a threshold is defined as the rainfall beyond which slope failure can be triggered. The rainfall threshold models may be physical-based [1]-[4] or empirical-based. The empirical-based rainfall threshold model is usually established by taking the lower bound of the rainfall conditions, such as rainfall intensity [5]-[8], rainfall duration [9], [10], [8], cumulative rainfall [11], [12], or antecedent rainfall [13], [14] that can cause slope failure.

B. Exact Time of Slope Failure

Ikeya (1983) [15] pointed out in a torrential rain that caused sediment disasters, the typical relationship between rainfall duration and cumulative rainfall is like the one shown in Fig. 1. First, there is some light rain at the beginning of the rainfall period. Then, the rainfall intensity starts to increase more rapidly. After a continuous rapid rainfall period and cumulative rainfall, the sediment disasters occur when the rainfall intensity decreases and the gradient of the cumulative rainfall curve become smaller. The data of slope failures along the Alishan Highway were collected and summarized over the past 15 years by the Alishan Public Works Section of the Fifth District Maintenance Office, Directorate General of Highways, Ministry of Transportation and Communication, Taiwan. At each occurrence of slope failure, the staff of Public Works Section measured the avalanche size and took photos as records shortly after the slope failure and definitely before the site clean-up. These records, including location and the volume of slope failure, are generally very accurate except the exact time of occurrence. In this paper, the records of landslides and slope failure along the Alishan Highway between 1996 and 2010 caused by typhoon torrential rain are examined and analyzed.

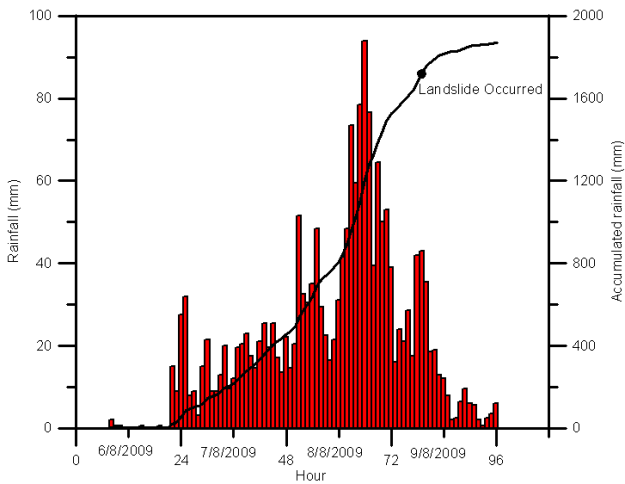


Fig. 1 A typical rainfall diagram of slope failure

C. Slump Volume of Slope Failure

The slump soil/rock volume of slope failure along road infers the hazard magnitude. A direct calculating method of landslide volume is product of area and average depth of landslide. The data should be collected by site investigation or Geology Information System. Nevertheless, high quality case histories of landslide depth are collected difficultly. The exponent function is used to present the relation between area ratio of landslide (ρ) and cumulative rainfall (R) as follows [16]-[18].

$$\rho = \frac{\sum a}{A} = \alpha \times (R - R_0)^n \quad (1)$$

where R_0 is cumulated a rainfall threshold. The value ranges about from 200mm to 400mm in site investigation in Taiwan [19]. Parameter “a” is indicated the area of slope failure, and the parameter “A” is total area of slope. In this paper, (1) is adapted to develop advanced for a relation function between landslide volume and rainfall characteristics.

III. STUDY CASES

The data of 18 rainfall events with highway slope failures and additional 71 rainfall events without slope failures are collected in this study. These rainfall event data include a histogram of rainfall per hour (rainfall intensity, I) and a cumulative rainfall curve. As shown in Table I, there were a total of 253 landslides caused by 18 typhoons with slope failures in the low altitude section of the Alishan Highway, with a total landslide volume of 150,418 m³, which averages about 595 m³/site. In the medium altitude section, there were 165 landslides with a total landslide volume of 119,753 m³, which averages about 726 m³/site. In the high altitude section, there were 106 landslides with a total landslide volume of 115,758 m³, which averages about 1,092 m³/site. In the Table I, the parameter “ I_{max} ” indicated the maximum value of rainfall intensity during histogram of rain.

IV. RESULTS

A. Distribution of Slope Failure

The total number of heavy rainfall of typhoon is 89 during 1996 to 2010 year in Taiwan. There are 18 typhoons that induced slope failures. The frequency diagram is showed as Fig. 2. The occurred duration of typhoon is from April to December. Although the slope failure occurred is during from July to October. The highest frequency of typhoon occurred in August is 26.97%. There two-thirds typhoons induced slope failure is occurred from July to August along the Alishan Highway in the past 15 years.

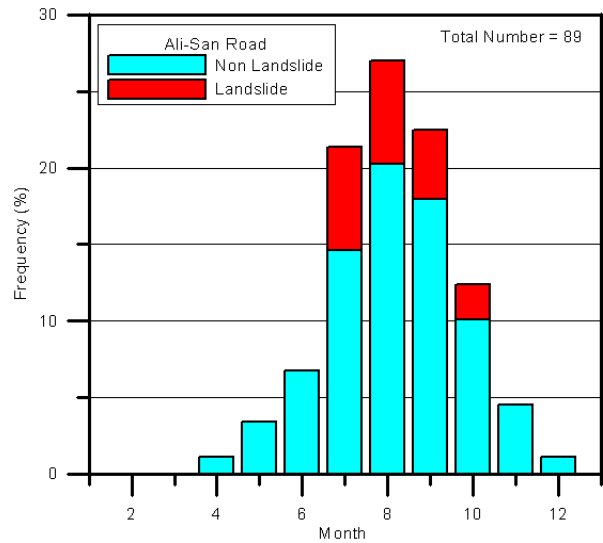


Fig. 2 The month distribution of slope failure along the Alishan Highway from 1996 to 2010 year

B. Landslide Volume Assessment Model

There are two parameter, the cumulative rainfall (R) and the characteristic rainfall intensity (CRI) are adapted to develop the landslide volume assessment models in this paper. The CRI is indicated as follows:

$$CRI = I_{max} + 2R/T \quad (2)$$

where T is the duration of a rainfall in the unit of hour. The rainfall threshold value of R and CRI is determined by the highest successful ratio of discrimination for slope failure. The successful ratio is defined as follows:

$$p(X_0) = \frac{m_1 + m_2}{n} \times 100\% \quad (3)$$

where m_1 is the number of which landslide volume is equal to zero in the cases of slope failure is not occurred in practical, m_2 is the number of which landslide volume is not equal to zero in the cases of slope failure is occurred in practical, n is the total number of cases.

There are two part of data is divided from the case histories

as Table I. They are named the low altitude section and the medium-high altitude section. Fig. 3 shows the relation curves of the two parts via the database. The formula of relation curve via cumulative rainfall (R) is defined as follows:

For the low altitude section :

$$V = \begin{cases} 0, & \text{when } R \leq 230 \text{ (mm)} \\ 1300 \times (R - 230)^{0.5}, & \text{otherwise} \end{cases} \quad (3)$$

For the medium-high altitude section :

$$V = \begin{cases} 0, & \text{when } R \leq 243 \text{ mm} \\ 1000 \times (R - 243)^{0.5}, & \text{otherwise} \end{cases} \quad (4)$$

The values of coefficient of determination for (3) and (4) are 0.52 and 0.37, respectively. The values of rainfall threshold are 230 mm and 243mm for the low and the medium-high altitude section, respectively. Although, two value are closer to each other.

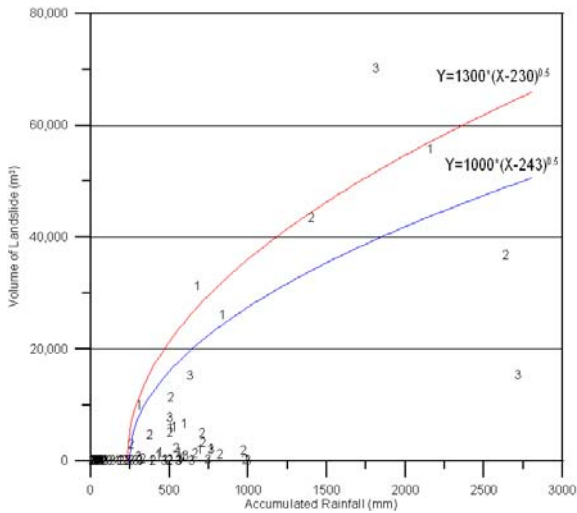


Fig. 3 The relation curves of the two parts via the cumulative rainfall (R) of the database. (Remark “1” = the low altitude section, “2” = the medium altitude section, and “3” = the high altitude section.)

Fig. 4 shows the relation curves of the two parts via the CRI of the database. The formula of relation curve via critical rainfall intensity (CRI) is defined as follows:

For the low altitude section :

$$V = \begin{cases} 0, & \text{when } CRI \leq 47 \text{ mm/hr} \\ 10 \times (CRI - 47)^2, & \text{otherwise} \end{cases} \quad (5)$$

For the medium-high altitude section :

$$V = \begin{cases} 0, & \text{when } CRI \leq 47 \text{ mm/hr} \\ 10 \times (CRI - 47)^{1.7}, & \text{otherwise} \end{cases} \quad (6)$$

The values of coefficient of determination for (5) and (6) are 0.58 and 0.55, respectively. The both values of rainfall

threshold are 47 mm/hr for the low and the medium-high altitude section.

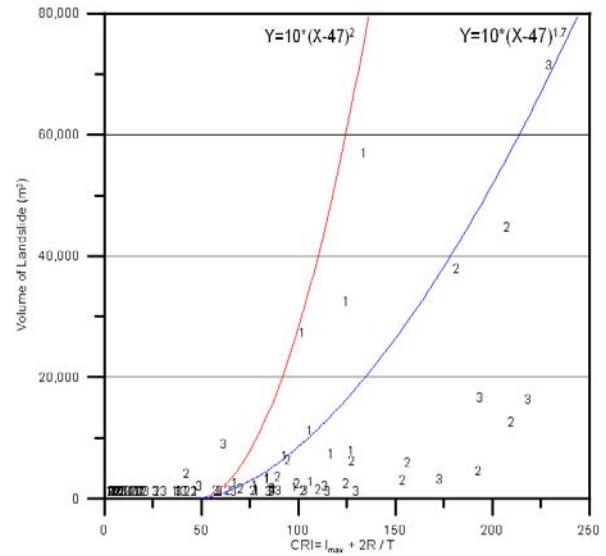


Fig. 4 The relation curves of the two parts via the critical rainfall intensity (CRI) of the database. (Remark “1” = the low altitude section, “2” = the medium altitude section, and “3” = the high altitude section.)

Table II shows the validation of the proposed model using slope failure data caused by the Saola typhoon in 2012 year in the Alisan Highway. The results of the cumulative rainfall based model ((3) and (4)) and the critical rainfall intensity based model ((5) and (6)) is compared. The error percentage of results for case 1 and case 2 (Table II) via the cumulative rainfall based model (in V_R column) and the critical rainfall intensity based model (in V_CRI column) is 27.7% and 47.5%, respectively. The result can be accepted for highway hazard management in practical.

V. CONCLUSION

In this paper we established an innovative empirical model, in which the landslide volume can be calculated based on effective cumulative rainfall (R) and critical rainfall intensity (CRI). The approach is demonstrated through a case study of the Alishan Highway, in which empirical model of landslide volume is established based on 15 years of typhoon-induced rainfall data and field observations of slope failures. The main results of this paper are summarized as follows:

- 1) The occurrence duration of typhoon is from April to December. Although the slope failure occurrence is from July to October. The highest frequency of typhoon occurrence in August is 26.97%. There two-thirds typhoons-induced slope failure occurrence is from July to August along the Alishan Highway in the past 15 years.
- 2) The values of accumulative rainfall threshold are 230mm and 243mm for the low and the medium-high altitude section, respectively. Although, two value are closer to each other.
- 3) The both values of critical rainfall threshold (CRI) are 47

mm/hr for both the low and the medium-high altitude section.

- 4) The empirical formula ((3) and (4)) of relation curve of landslide volume via cumulative rainfall (R) is developed. The values of coefficient of determination for the low altitude and medium-high altitude are 0.52 and 0.37,

respectively.

- 5) The empirical formula ((5) and (6)) of relation curve of landslide volume via critical rainfall intensity (CRI) is developed. The values of coefficient of determination for the low altitude and medium-high altitude are 0.58 and 0.55, respectively.

TABLE I
 THE DATA LIST FOR THE CASE HISTORIES OF THE ALISHAN HIGHWAY

| NO. | Year | Month | Elevation* | R (mm) | I _{max} (mm/hr) | T (hr) | No. of Landslide | Volume of landslide (m ³) |
|-----|------|-------|------------|--------|--------------------------|--------|------------------|---------------------------------------|
| 1 | 1996 | 5 | 1 | 24 | 3 | 24 | 0 | 0 |
| 2 | 1996 | 5 | 2 | 35.5 | 3.5 | 25 | 0 | 0 |
| 3 | 1996 | 5 | 3 | 62.5 | 7 | 24 | 0 | 0 |
| 4 | 1996 | 7 | 1 | 48.5 | 10 | 14 | 0 | 0 |
| 5 | 1996 | 7 | 2 | 40.5 | 10 | 9 | 0 | 0 |
| 6 | 1996 | 7 | 3 | 67 | 16 | 9 | 0 | 0 |
| 7 | 1996 | 8 | 1 | 836.5 | 48 | 31 | 33 | 26073 |
| 8 | 1996 | 8 | 2 | 1404 | 120 | 32 | 36 | 43456 |
| 9 | 1996 | 8 | 3 | 1816 | 112.5 | 31 | 21 | 70217 |
| 10 | 1996 | 9 | 1 | 10.5 | 1 | 16 | 0 | 0 |
| 11 | 1996 | 9 | 2 | 7.5 | 1.5 | 9 | 0 | 0 |
| 12 | 1996 | 9 | 3 | 13 | 3 | 9 | 0 | 0 |
| 13 | 1997 | 8 | 1 | 94 | 10.5 | 21 | 0 | 0 |
| 14 | 1997 | 8 | 1 | 168 | 25.5 | 26 | 0 | 0 |
| 15 | 1997 | 8 | 1 | 168 | 25.5 | 26 | 0 | 0 |
| 16 | 1997 | 8 | 2 | 215 | 22.5 | 21 | 0 | 0 |
| 17 | 1997 | 8 | 2 | 191.5 | 31 | 25 | 0 | 0 |
| 18 | 1997 | 8 | 2 | 191.5 | 31 | 25 | 0 | 0 |
| 19 | 1997 | 8 | 3 | 238.5 | 18 | 23 | 0 | 0 |
| 20 | 1997 | 8 | 3 | 242.5 | 40 | 25 | 0 | 0 |
| 21 | 1997 | 8 | 3 | 242.5 | 40 | 25 | 0 | 0 |
| 22 | 1998 | 8 | 2 | 374.5 | 94 | 12 | 8 | 4624 |
| 23 | 1998 | 8 | 3 | 318 | 72.5 | 15 | 0 | 0 |
| 24 | 1998 | 9 | 1 | 27 | 3.5 | 25 | 0 | 0 |
| 25 | 1998 | 9 | 2 | 32 | 4.5 | 23 | 0 | 0 |
| 26 | 1998 | 9 | 3 | 39.5 | 7 | 24 | 0 | 0 |
| 27 | 1998 | 10 | 1 | 186 | 24 | 30 | 0 | 0 |
| 28 | 1998 | 10 | 1 | 71.5 | 6.5 | 51 | 0 | 0 |
| 29 | 1998 | 10 | 2 | 309.5 | 42.5 | 29 | 1 | 150 |
| 30 | 1998 | 10 | 2 | 83.5 | 11 | 51 | 0 | 0 |
| 31 | 1998 | 10 | 3 | 306 | 28.5 | 30 | 1 | 801 |
| 32 | 1998 | 10 | 3 | 95.5 | 8 | 49 | 0 | 0 |
| 33 | 1999 | 6 | 1 | 23.5 | 8 | 29 | 0 | 0 |
| 34 | 1999 | 6 | 2 | 21.5 | 3.5 | 27 | 0 | 0 |
| 35 | 1999 | 6 | 3 | 41.5 | 7.5 | 31 | 0 | 0 |
| 36 | 1999 | 8 | 1 | 7.5 | 2.5 | 27 | 0 | 0 |
| 37 | 1999 | 8 | 2 | 11 | 3 | 27 | 0 | 0 |
| 38 | 1999 | 8 | 3 | 16.5 | 2.5 | 28 | 0 | 0 |
| 39 | 1999 | 10 | 1 | 26 | 4 | 18 | 0 | 0 |
| 40 | 1999 | 10 | 2 | 30 | 4 | 21 | 0 | 0 |
| 41 | 1999 | 10 | 3 | 57 | 6 | 29 | 0 | 0 |
| 42 | 2000 | 7 | 1 | 64.5 | 10.5 | 33 | 0 | 0 |
| 43 | 2000 | 7 | 2 | 78 | 15.5 | 34 | 0 | 0 |
| 44 | 2000 | 7 | 3 | 122.5 | 15 | 38 | 0 | 0 |
| 45 | 2000 | 8 | 1 | 229.5 | 27.5 | 24 | 0 | 0 |
| 46 | 2000 | 8 | 1 | 40.5 | 27.5 | 8 | 0 | 0 |
| 47 | 2000 | 8 | 2 | 256 | 22 | 25 | 3 | 2891 |
| 48 | 2000 | 8 | 2 | 65 | 19.5 | 17 | 0 | 0 |
| 49 | 2000 | 8 | 3 | 260 | 21 | 26 | 0 | 0 |
| 50 | 2000 | 8 | 3 | 47 | 12 | 16 | 0 | 0 |
| 51 | 2000 | 11 | 1 | 72 | 8 | 26 | 0 | 0 |
| 52 | 2000 | 11 | 2 | 87 | 9 | 29 | 0 | 0 |
| 53 | 2000 | 11 | 3 | 144 | 16 | 28 | 0 | 0 |
| 54 | 2001 | 7 | 1 | 306.5 | 65 | 15 | 14 | 9971 |
| 55 | 2001 | 7 | 2 | 509.5 | 137 | 14 | 15 | 11347 |
| 56 | 2001 | 7 | 3 | 634.5 | 121 | 13 | 26 | 15179 |
| 57 | 2001 | 9 | 1 | 675.5 | 70.5 | 25 | 32 | 31226 |
| 58 | 2001 | 9 | 2 | 502 | 66.5 | 23 | 1 | 188 |
| 59 | 2001 | 9 | 3 | 314 | 57.5 | 23 | 0 | 0 |
| 60 | 2004 | 7 | 1 | 504 | 52.5 | 25 | 19 | 5862 |
| 61 | 2004 | 7 | 2 | 504 | 52.5 | 24 | 9 | 5069 |
| 62 | 2004 | 7 | 3 | 568.5 | 53 | 34 | 11 | 474 |

| | | | | | | | | |
|-----|------|----|---|--------|-------|----|----|-------|
| 63 | 2005 | 7 | 1 | 693.5 | 44 | 35 | 14 | 1948 |
| 64 | 2005 | 7 | 2 | 821 | 54 | 36 | 3 | 1205 |
| 65 | 2005 | 7 | 3 | 750 | 44 | 35 | 2 | 192 |
| 66 | 2005 | 8 | 1 | 593.5 | 80 | 25 | 22 | 6591 |
| 67 | 2005 | 8 | 2 | 663.5 | 71.5 | 25 | 12 | 1267 |
| 68 | 2005 | 8 | 3 | 602.5 | 65.5 | 25 | 6 | 815 |
| 69 | 2006 | 7 | 1 | 429.5 | 48 | 29 | 3 | 993 |
| 70 | 2006 | 7 | 2 | 501.5 | 43.5 | 30 | 1 | 45 |
| 71 | 2006 | 7 | 3 | 470 | 35.5 | 30 | 0 | 0 |
| 72 | 2007 | 8 | 1 | 439.5 | 38 | 30 | 10 | 1401 |
| 73 | 2007 | 8 | 2 | 397.5 | 33 | 30 | 1 | 11 |
| 74 | 2007 | 8 | 3 | 506 | 37 | 41 | 2 | 7700 |
| 75 | 2007 | 10 | 1 | 527 | 55 | 17 | 20 | 6088 |
| 76 | 2007 | 10 | 2 | 971 | 73 | 24 | 18 | 1835 |
| 77 | 2007 | 10 | 3 | 769 | 87.5 | 18 | 6 | 1966 |
| 78 | 2008 | 7 | 1 | 560 | 50.5 | 20 | 10 | 1446 |
| 79 | 2008 | 7 | 1 | 476 | 43 | 27 | 1 | 225 |
| 80 | 2008 | 7 | 2 | 713 | 121.5 | 20 | 10 | 3276 |
| 81 | 2008 | 7 | 2 | 545 | 50.5 | 28 | 2 | 2281 |
| 82 | 2008 | 7 | 3 | 562.5 | 76.5 | 21 | 1 | 30 |
| 83 | 2008 | 7 | 3 | 557 | 50 | 28 | 1 | 75 |
| 84 | 2008 | 9 | 1 | 764 | 53.5 | 50 | 7 | 2186 |
| 85 | 2008 | 9 | 1 | 589.5 | 54.5 | 27 | 8 | 681 |
| 86 | 2008 | 9 | 2 | 983 | 62.5 | 49 | 4 | 115 |
| 87 | 2008 | 9 | 2 | 710 | 75 | 27 | 6 | 4943 |
| 88 | 2008 | 9 | 3 | 1001.5 | 46.5 | 50 | 1 | 28 |
| 89 | 2008 | 9 | 3 | 643.5 | 64.5 | 33 | 1 | 75 |
| 90 | 2009 | 8 | 1 | 2155.5 | 75.5 | 74 | 60 | 55727 |
| 91 | 2009 | 8 | 2 | 2638.5 | 110.5 | 74 | 33 | 36719 |
| 92 | 2009 | 8 | 3 | 2719 | 123 | 77 | 26 | 15342 |
| 93 | 2010 | 9 | 1 | 383.5 | 55.5 | 33 | 0 | 0 |
| 94 | 2010 | 9 | 1 | 35 | 9 | 9 | 0 | 0 |
| 95 | 2010 | 9 | 1 | 33 | 9.5 | 14 | 0 | 0 |
| 96 | 2010 | 9 | 2 | 328.5 | 50.5 | 33 | 2 | 331 |
| 97 | 2010 | 9 | 2 | 29 | 5.5 | 9 | 0 | 0 |
| 98 | 2010 | 9 | 2 | 22.5 | 6 | 15 | 0 | 0 |
| 99 | 2010 | 9 | 3 | 286.5 | 38.5 | 30 | 1 | 92 |
| 100 | 2010 | 9 | 3 | 41.5 | 8 | 10 | 0 | 0 |
| 101 | 2010 | 9 | 3 | 21 | 5 | 13 | 0 | 0 |
| 102 | 2010 | 10 | 1 | 7.5 | 2 | 5 | 0 | 0 |
| 103 | 2010 | 10 | 2 | 7.5 | 2 | 6 | 0 | 0 |
| 104 | 2010 | 10 | 3 | 10 | 3.5 | 6 | 0 | 0 |

*Remark: "1" = the low altitude section, "2" = the medium altitude section, and "3" = the high altitude section.

TABLE II
VALIDATION OF THE PROPOSED MODEL USING SLOPE FAILURE DATA IN 2012 YEAR IN THE ALISHAN HIGHWAY

| NO | Location | Date | ELE * | R(mm) | T(hr) | I_{max} (mm/hr) | CRI = $I+2R/T$ | V_R (m ³) | V_CRI (m ³) |
|----|----------|----------|----------|-------|-------|----------------------|----------------|-----------------------|-------------------------|
| 1 | Alishan | 2012/8/1 | 1 | 473.5 | 23 | 45 | 86.17 | 20285.8 | 15346.0 |
| 2 | Alishan | 2012/8/3 | 3 | 527 | 19 | 51 | 106.47 | 16852.3 | 10382.6 |

*Remark: "1" = the low altitude section, "2" = the medium altitude section, and "3" = the high altitude section.

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