

# Existence of Rational Primitive Normal Pairs with Prescribed Norm and Trace

Soniya Takshak, R. K. Sharma

**Abstract**—Let  $q$  be a prime power and  $n$  be a positive integer,  $\mathbb{F}_q$  stands for the finite field of  $q$  elements, and  $\mathbb{F}_{q^n}$  denotes the extension of  $\mathbb{F}_q$  of degree  $n$ . Also,  $\mathbb{F}_q^*$  represents the multiplicative group of non-zero elements of  $\mathbb{F}_q$ , and the generators of  $\mathbb{F}_q^*$  are called primitive elements. A normal element of a finite field  $\mathbb{F}_{q^n}$  is an element  $\alpha$  such that the set of  $\alpha$  and its all conjugates in  $\mathbb{F}_{q^n}$  forms a basis for  $\mathbb{F}_{q^n}$  over  $\mathbb{F}_q$ . Primitive normal elements have several applications in coding theory and cryptography. So, establishing the existence of primitive normal elements under certain conditions is theoretically essential and a genuine issue. In this article, we provide a sufficient condition for the existence of a primitive normal element  $\alpha$  in  $\mathbb{F}_{q^n}$  of a prescribed primitive norm and non-zero trace over  $\mathbb{F}_q$  such that  $f(\alpha)$  is also primitive, where  $f(x)$  is a rational function of degree sum  $m$  over  $\mathbb{F}_{q^n}$ . Particularly, for the rational functions of degree sum 4 over  $\mathbb{F}_{q^n}$ , where  $\mathbb{F}_q$  is the field of characteristic 11 and  $n$  is greater than or equal to 7, we demonstrated that there are only 3 exceptional pairs  $(q, n)$  for which such kind of primitive normal elements may not exist. In general, we show that such elements always exist except for finitely many choices of  $(q, n)$ . We used additive and multiplicative character sums as important tools to arrive at our conclusion.

**Keywords**—Finite Field, Primitive Element, Normal Element, norm, trace, character.

## I. INTRODUCTION

**T**HERE are two well-known concepts related to the elements of finite fields, namely, the trace and the norm. For  $\alpha \in \mathbb{F}_{q^n}$ , the trace of  $\alpha$  over  $\mathbb{F}_q$  is defined as  $Tr_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha) = \sum_{i=0}^{n-1} \alpha^{q^i}$ , and the norm of  $\alpha$  over  $\mathbb{F}_q$  is defined as  $N_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha) = \prod_{i=0}^{n-1} \alpha^{q^i}$ .

In [1], Sharma et al. proved the existence of primitive normal pairs  $(\alpha, f(\alpha))$  in  $\mathbb{F}_{q^n}$  where  $f(x)$  is a polynomial of degree  $m$ . In this article, we generalize  $f(x)$  to a rational function of degree sum  $m$  and relax the normality condition on  $f(\alpha)$ . Here degree sum of a rational function  $f = f_1/f_2$  is defined to be the sum of the degrees of  $f_1$  and  $f_2$ . In our context, we define a pair  $(\alpha, \beta) \in \mathbb{F}_{q^n} \times \mathbb{F}_{q^n}$  to be a primitive normal pair when  $\alpha$  is primitive and normal both, but  $\beta$  is primitive only.

For a positive integer  $m$ , let  $S_{q^n}(m)$  denote the set of rational functions  $f(x) = \frac{f_1(x)}{f_2(x)} \in \mathbb{F}_{q^n}(x)$  of degree sum  $m$ , which are non-exceptional. Here, by the non-exceptional functions we mean that  $f(x) \neq \lambda x^r h(x)^s$  for  $\lambda \in \mathbb{F}_{q^n}^*$ , any integer  $r$ , and  $h(x) \in \mathbb{F}_{q^n}(x)$ , and  $s|(q^n - 1)$  such that  $s > 1$ . For primitive element  $a$  and non-zero element  $b$  in  $\mathbb{F}_q$ , let  $T_{m,a,b}$  denote the set of pairs  $(q, n)$  such that for each  $f \in S_{q^n}(m)$ , there exists a primitive normal pair  $(\alpha, f(\alpha))$  in  $\mathbb{F}_{q^n}$  over  $\mathbb{F}_q$  with  $N_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha) = a$  and  $Tr_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha) = b$ .

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This article is organized in the following manner: Section II contains some basic results and notations. A sufficient condition for the existence of primitive normal element  $\alpha$  with prescribed norm and trace, such that  $f(\alpha)$  is also primitive, is obtained in Section III. Further, in Section IV, we improved the sufficient condition with the help of the sieving technique. At last, we provide a numerical example of the rational functions of degree sum 4 over  $\mathbb{F}_{q^n}$ ,  $q = 11^k$ , in Section V.

## II. PRELIMINARIES

Let  $q = p^k$ , where  $p$  is a prime and  $k$  is a positive integer. We denote an algebraic closure of  $\mathbb{F}_p$  by  $\mathbb{F}$  and the set of positive integers by  $\mathbb{N}$ . Next,  $W(\lambda)$  represents the number of square-free divisors of  $\lambda$ , where  $\lambda$  is a positive integer or a polynomial. For primary results related to  $e$ -free elements,  $g$ -free elements, and characters the reader is referred to [2].

Similar to Cohen and Huczynska [3], [4], the characteristic function for the subset of  $e$ -free elements of  $\mathbb{F}_{q^n}^*$ , where  $e$  is a divisor of  $q^n - 1$ , can be provided as follows,

$$\rho_e : \alpha \mapsto \theta(e) \sum_{d|e} \frac{\mu(d)}{\phi(d)} \sum_{\chi_d} \chi_d(\alpha),$$

where  $\theta(e) := \frac{\phi(e)}{e}$ ,  $\mu$  denotes the Möbius function, and  $\chi_d$  denotes a multiplicative character of order  $d$ .

Analogously, the characteristic function for the set of  $g$ -free elements of  $\mathbb{F}_{q^n}^*$ , where  $g$  is a factor of  $(x^n - 1)$ , is as follows,

$$\kappa_g : \alpha \mapsto \Theta(g) \sum_{h|g} \frac{\mu'(h)}{\Phi_q(h)} \sum_{\psi_h} \psi_h(\alpha),$$

where  $\Theta(g) := \frac{\Phi_q(g)}{g^{d_{eg}(g)}}$ ,  $\psi_h$  is any additive character of order  $h$ , and  $\mu'$  is analogous to the Möbius function, with  $\mu'(h) = (-1)^s$ , when  $h$  is a product of  $s$  distinct monic irreducible polynomials, otherwise 0.

We also need two characteristic functions,  $\eta_a$  and  $\tau_b$ , for the set of elements of prescribed norm and trace, respectively. For  $a \in \mathbb{F}_q^*$ , the characteristic function for the set of elements in  $\mathbb{F}_{q^n}$  of norm  $a$ , is given by

$$\eta_a : \alpha \mapsto \frac{1}{q-1} \sum_{\chi \in \widehat{\mathbb{F}_q^*}} \chi(N_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha)a^{-1}),$$

where  $\widehat{\mathbb{F}_q^*}$  is the set of multiplicative characters over  $\mathbb{F}_q^*$ . Similarly, the characteristic function for the set of elements in  $\mathbb{F}_{q^n}$  of trace  $b$ , is given by

$$\tau_b : \alpha \mapsto \frac{1}{q} \sum_{\psi \in \widehat{\mathbb{F}_q}} \psi(Tr_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha) - b),$$

where  $\widehat{\mathbb{F}_q}$  is the set of additive characters over  $\mathbb{F}_q$ .

We will use Lemma 1 and Lemma 2 to prove this article's sufficient condition (Lemma 4).

**Lemma 1.** [5] Let  $f(x)$  be a rational function over  $\mathbb{F}_{q^n}$ . Let  $f(x) = \prod_{j=1}^k f_j(x)^{r_j}$ , where  $f_j(x)$  are irreducible polynomials over  $\mathbb{F}_{q^n}$  and  $r_j$  are nonzero integers. Let  $\chi$  be a multiplicative character of square-free order  $d$  of  $\mathbb{F}_{q^n}$ , where  $d|q^n - 1$ . Suppose  $f(x) \neq cg(x)^d$  for any rational function  $g(x)$  in  $\mathbb{F}_{q^n}(x)$  and  $c \in \mathbb{F}_{q^n}^*$ . Then

$$\left| \sum_{\alpha \in \mathbb{F}_{q^n}, f(\alpha) \neq 0} \chi(f(\alpha)) \right| \leq \left( \sum_{j=1}^k \deg(f_j) - 1 \right) q^{n/2}.$$

**Lemma 2.** [6] Let  $\chi$  be a multiplicative character of order  $r$  and  $\psi$  be a nontrivial additive character of  $\mathbb{F}_{q^n}$ . Let  $f$  and  $g$  be rational functions over  $\mathbb{F}_{q^n}$ . We write  $f(x) = \prod_{j=1}^k f_j(x)^{r_j}$ , where  $f_j(x)$  are irreducible polynomials over  $\mathbb{F}_{q^n}$  and  $r_j$  are nonzero integers. Let  $g$  is not of the form  $h^{q^n} - h$ , for any  $h \in \mathbb{F}(x)$ . Then

$$\left| \sum_{\substack{\alpha \in \mathbb{F}_{q^n}, \\ f(\alpha) \neq 0, \infty, \\ g(\alpha) \neq \infty}} \chi(f(\alpha))\psi(g(\alpha)) \right| \leq (N_1 + N_2 + N_3 + N_4 - 1)q^{n/2},$$

where  $N_1 = \sum_{j=1}^k \deg(f_j)$ ,  $N_2 = \max(\deg(g), 0)$ ,  $N_3$  is the degree of the denominator of  $g(x)$  and  $N_4$  is the sum of the degrees of irreducible factors of the denominator of  $g(x)$ , which are different from  $f_j(x)$ ,  $j = 1, 2, \dots, k$ .

### III. SUFFICIENT CONDITION

Whenever  $\alpha$  is a primitive element in  $\mathbb{F}_{q^n}$ , the norm of  $\alpha$  is a primitive element in  $\mathbb{F}_q$ . That is, if  $\alpha$  is  $(q^n - 1)$ -free in  $\mathbb{F}_{q^n}$ , then  $N_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha)$  is  $(q - 1)$ -free in  $\mathbb{F}_q$ . Moreover, Lemma 3 deals with the general case. We have changed the notations according to our article.

**Lemma 3.** [1] Let  $l$  be a divisor of  $q^n - 1$ ,  $\sigma = \gcd(l, q - 1)$ , and  $R_l$  is the largest divisor of  $l$  such that  $\gcd(\sigma, R_l) = 1$ . Then  $\alpha \in \mathbb{F}_{q^n}^*$  is  $l$ -free if and only if  $N_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha)$  is  $\sigma$ -free in  $\mathbb{F}_q^*$  and  $\alpha$  is  $R_l$ -free.

Let  $l_1, l_2$  divide  $(q^n - 1)$ , and  $g(x)$  divides  $x^n - 1$ . Let  $\sigma = \gcd(l_1, q - 1)$  and  $R_{l_1}$  be the largest divisor of  $l_1$  in such a manner that  $\gcd(\sigma, R_{l_1}) = 1$ . It is evident from Lemma 3 that if  $N_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha)$  is  $\sigma$ -free and  $\alpha$  is  $R_{l_1}$ -free, then  $\alpha$  will be  $l_1$ -free. Furthermore, the non-zero value of  $Tr_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha)$  implies that  $\alpha$  is  $(x - 1)$ -free. Next,  $S_g$  is the highest degree polynomial dividing  $g(x)$  such that  $\gcd(S_g, x - 1) = 1$ . Now, to show that  $\alpha$  is  $l_1$ -free and  $g$ -free, we need to show that  $\alpha$  is  $R_{l_1}$ -free and  $S_g$ -free.

For  $f \in S_{q^n}(m)$ , a  $\sigma$ -free element  $a \in \mathbb{F}_q^*$  and  $b \in \mathbb{F}_q^*$ , let  $N_{f,a,b}(R_{l_1}, l_2, S_g)$  represents the number of elements  $\alpha \in \mathbb{F}_{q^n}$  such that  $\alpha$  is  $R_{l_1}$ -free and  $S_g$ -free with  $f(\alpha)$  is  $l_2$ -free, such that  $N_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha) = a$ ,  $Tr_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha) = b$ . For easy notation, we set  $R := R_{q^n-1}$ ,  $S := S_{x^n-1}$  and  $N_{f,a,b} := N_{f,a,b}(R, q^n - 1, S)$ . Hence to prove that  $(q, n) \in T_{m,a,b}$ , it is required to

show that  $N_{f,a,b} > 0$  for every  $f \in S_{q^n}(m)$ . In Lemma 4, we prove a sufficient condition such that  $N_{f,a,b}(R_{l_1}, l_2, S_g)$  is positive. Consequently, Corollary 1 provides the sufficient condition on  $q$  and  $n$  such that  $(q, n) \in T_{m,a,b}$ .

**Lemma 4.** Let  $q, m$ , and  $n$  are in  $\mathbb{N}$  and  $q$  be a prime power such that  $q^{\frac{n}{2}-2} > (m + 1)W(R_{l_1})W(l_2)W(S_g)$ . Then  $N_f(R_{l_1}, l_2, S_g) > 0$ , where  $l_1, l_2$  divide  $q^n - 1$  and  $g(x)|x^n - 1$ .

*Proof:* Let  $V_1$  be the set containing zeros and poles of  $f(x)$  in  $\mathbb{F}_{q^n}$  and  $V = V_1 \cup \{0\}$ . Then

$$\begin{aligned} N_{f,a,b}(R_{l_1}, l_2, S_g) &= \sum_{\substack{\alpha \in \mathbb{F}_{q^n} \\ \alpha \notin V}} \rho_{R_{l_1}}(\alpha) \rho_{l_2}(f(\alpha)) \kappa_{S_g}(\alpha) \eta_\alpha(\alpha) \tau_b(\alpha) \\ &= \frac{\theta(l_1)\theta(l_2)\Theta(g)}{q(q-1)} \sum_{\substack{d_1 | R_{l_1}, d_2 | l_2, \\ h | S_g}} \frac{\mu(d_1)\mu(d_2)\mu'(h)}{\phi(d_1)\phi(d_2)\Phi_q(h)} \\ &\quad \sum_{\substack{\chi_{d_1, d_2, h, a, b} \\ \psi_h}} \chi_{d_1, d_2, h, a, b} \end{aligned}$$

where

$$\begin{aligned} \chi_{d_1, d_2, h, a, b} &= \sum_{\chi \in \mathbb{F}_q^*} \sum_{\psi \in \widehat{\mathbb{F}_q}} \sum_{\substack{\alpha \in \mathbb{F}_{q^n} \\ \alpha \notin V}} \chi_{d_1}(\alpha) \chi_{d_2}(f(\alpha)) \psi_h(\alpha) \\ &\quad \chi(N_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha) a^{-1}) \psi(Tr_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha) - b) \end{aligned}$$

For multiplicative character  $\chi_{q-1}$  of order  $q - 1$  in  $\widehat{\mathbb{F}_q}$  and canonical additive character  $\psi_0$  in  $\widehat{\mathbb{F}_q}$ , we have

$$\begin{aligned} \chi_{d_1, d_2, h, a, b} &= \sum_{j=1}^{q-1} \sum_{c \in \mathbb{F}_q} \chi_{q-1}(a^{-j}) \psi_0(-cb) \sum_{\substack{\alpha \in \mathbb{F}_{q^n} \\ \alpha \notin V}} \chi_{d_1}(\alpha) \chi_{d_2}(f(\alpha)) \\ &\quad \psi_h(\alpha) \chi_{q-1}^j(N_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha)) \psi_0(c Tr_{\mathbb{F}_{q^n}/\mathbb{F}_q}(\alpha)) \\ &= \sum_{j=1}^{q-1} \sum_{c \in \mathbb{F}_q} \chi_{q-1}(a^{-j}) \psi_0(-cb) \sum_{\substack{\alpha \in \mathbb{F}_{q^n} \\ \alpha \notin V}} \chi_{d_1}(\alpha) \chi_{d_2}(f(\alpha)) \\ &\quad \psi_h(\alpha) \tilde{\chi}^j(\alpha) \tilde{\psi}_0(c\alpha) \end{aligned}$$

where  $\tilde{\chi} = \chi_{q-1} \circ N_{\mathbb{F}_{q^n}/\mathbb{F}_q}$  and  $\tilde{\psi}_0 = \psi_0 \circ Tr_{\mathbb{F}_{q^n}/\mathbb{F}_q}$ . It is clear that  $\tilde{\chi}$  is a multiplicative character in  $\widehat{\mathbb{F}_{q^n}^*}$  and  $\tilde{\psi}_0$  is a canonical additive character in  $\widehat{\mathbb{F}_{q^n}}$ . Since the order of  $\tilde{\chi}$  is  $q - 1$ , there exists a multiplicative character  $\chi_{q^n-1}$  in  $\widehat{\mathbb{F}_{q^n}^*}$  such that  $\tilde{\chi} = \chi_{q^n-1}^{(q^n-1)/(q-1)}$  and  $\chi_{d_j}(\alpha) = \chi_{q^n-1}(\alpha^{n_j})$ , for some  $n_j \in \{1, 2, \dots, q^n - 2\}$ ,  $j = 1, 2$ . Moreover, there exists

$y' \in \mathbb{F}_{q^n}$  such that  $\psi_h(\alpha) = \tilde{\psi}_0(y'\alpha)$ . Therefore

$$\begin{aligned} & \chi_{d_1, d_2, h, a, b} \\ &= \sum_{j=1}^{q-1} \sum_{c \in \mathbb{F}_q} \chi_{q-1}(a^{-j}) \psi_0(-cb) \\ & \quad \sum_{\substack{\alpha \in \mathbb{F}_{q^n} \\ \alpha \notin V}} \chi_{q^n-1}(\alpha^{n_1 + \frac{(q^n-1)j}{q-1}}) f(\alpha)^{n_2} \tilde{\psi}_0((y'+c)\alpha) \\ &= \sum_{j=1}^{q-1} \sum_{c \in \mathbb{F}_q} \chi_{q-1}(a^{-j}) \psi_0(-cb) \\ & \quad \sum_{\substack{\alpha \in \mathbb{F}_{q^n} \\ \alpha \notin V}} \chi_{q^n-1}(F_j(\alpha)) \tilde{\psi}_0(G_c(\alpha)) \end{aligned}$$

where  $F_j(x) = x^{n_1 + \frac{(q^n-1)j}{q-1}} f(x)^{n_2}$ ,  $j = 1, 2, \dots, q-1$  and  $G_c(x) = (y'+c)x$ ,  $c \in \mathbb{F}_q$ . If  $G_c(x) \neq H(x)^{q^n} - H(x)$ , for any  $H(x) \in \mathbb{F}(x)$  then lemma 2 provides

$$|\chi_{d_1, d_2, h, a, b}| \leq (m+1)(q-1)q^{n/2+1}.$$

Also when  $G_c(x) = H(x)^{q^n} - H(x)$ , it is possible to write  $(y'+c)x = H(x)^{q^n} - H(x)$ , for some  $H(x) \in \mathbb{F}(x)$ , only when  $y'+c = 0$ . Since  $\psi_h(h(\alpha)) = 1$ , we get  $\tilde{\psi}_0(y'h(\alpha)) = 1$ . Thus  $y'+c = 0$  implies  $\tilde{\psi}_0(-ch(\alpha)) = 1$ . Since  $c$  is an element of  $\mathbb{F}_q$ , and  $x^n - 1$  is the  $\mathbb{F}_q$ -order of  $\tilde{\psi}_0$ , therefore  $x^n - 1 | ch(x)$ . This implies  $c = 0$ , which further implies  $y' = 0$ . Hence  $h = 1$ . Therefore, when  $G_c(x) = H(x)^{q^n} - H(x)$ , the following two cases arise.

**Case 1.** If  $F_j(x) \neq \lambda H(x)^{q^n-1}$  for any  $\lambda \in \mathbb{F}_{q^n}^*$  and  $H(x) \in \mathbb{F}_{q^n}(x)$ , then we get the following bound using Lemma 1

$$|\chi_{d_1, d_2, h, a, b}| \leq m(q-1)q^{n/2+1} + m < (m+1)(q-1)q^{n/2+1}.$$

**Case 2.** If  $F_j(x) = \lambda H(x)^{q^n-1}$  for some  $\lambda \in \mathbb{F}_{q^n}^*$  and  $H(x) \in \mathbb{F}_{q^n}(x)$ , then  $d_1 = d_2 = 1$ . For this

$$x^{n_1 + \frac{(q^n-1)j}{q-1}} f(x)^{n_2} = \lambda H(x)^{q^n-1}. \quad (1)$$

We can write  $f(x)$  in the product form as  $f(x) = \omega x^r \prod_{i=1}^k f_i(x)^{s_i}$ , where  $\omega \in \mathbb{F}_{q^n}^*$ ,  $r, s_i$  are integers and  $f_i(x)$  is an irreducible polynomial,  $i = 1, 2, \dots, k$ . Comparing powers of  $f_i(x)$  on both sides, we get

$$s_i n_2 = s'_i (q^n - 1)$$

where  $s'_i$  represents the multiplicity of  $f_i(x)$  in  $H(x)$ . Let  $s = \frac{q^n-1}{\gcd(q^n-1, n_2)}$ , then  $s$  divides  $s_i$ . Therefore,  $f(x) = \omega x^r g(x)^s$  for some  $g(x) \in \mathbb{F}_{q^n}(x)$ . Since  $f(x) \in S_{q^n}(m)$ , we get  $s = 1$ . Hence  $q^n - 1 | n_2$  and this implies  $n_2 = 0$ . Now equation (1) becomes

$$x^{n_1 + \frac{(q^n-1)j}{q-1}} = \lambda H(x)^{q^n-1}$$

This implies  $H(x) = x^t$ , for some  $t \in \mathbb{N}$ , and  $n_1 + \frac{(q^n-1)j}{q-1} = t(q^n - 1)$ . Hence  $n_1 = (t - \frac{j}{q-1})(q^n - 1) \geq (t-1)(q^n - 1)$ , and this implies  $t = 1$  (since  $0 \leq n_1 \leq q^n - 2$ ). Thus  $n_1 = (1 - \frac{j}{q-1})(q^n - 1)$ . Since  $\chi_{d_1} = \chi_{q^n-1}$ , there exists  $t' \in \mathbb{N}$  such that  $n_1 = \frac{t'(q^n-1)}{d_1}$ . This implies  $(q-1-j)d_1 = t'(q-1)$ .

Since  $\gcd(d_1, q-1) = 1$ , we get  $d_1 | t'$ . Hence  $q^n - 1 | n_1$ , and this implies  $n_1 = 0$ . Thus we get  $n_1 = n_2 = 0$ , and hence  $d_1 = d_2 = 1$ . Consequently, we get  $(d_1, d_2, h) = (1, 1, 1)$ , whenever  $G_c(x) = H(x)^{q^n} - H(x)$  and  $F_j(x) = \lambda H(x)^{q^n-1}$  for some  $H(x) \in \mathbb{F}_{q^n}(x)$ . Hence

$$\begin{aligned} & \chi_{1,1,1,a,b} \\ &= \sum_{j=1}^{q-1} \sum_{c \in \mathbb{F}_q} \chi_{q-1}(a^{-j}) \psi_0(-cb) \sum_{\substack{\alpha \in \mathbb{F}_{q^n} \\ \alpha \notin V}} \chi_{q^n-1}(\alpha^{\frac{(q^n-1)j}{q-1}}) \\ & \quad \tilde{\psi}_0(c\alpha) \\ &= \sum_{j=1}^{q-1} \sum_{c \in \mathbb{F}_q^*} \chi_{q-1}(a^{-j}) \psi_0(-cb) \sum_{\substack{\alpha \in \mathbb{F}_{q^n} \\ \alpha \notin V}} \chi_{q^n-1}(\alpha^{\frac{(q^n-1)j}{q-1}}) \\ & \quad \tilde{\psi}_0(c\alpha) + \sum_{j=1}^{q-2} \chi_{q-1}(a^{-j}) \sum_{\substack{\alpha \in \mathbb{F}_{q^n} \\ \alpha \notin V}} \chi_{q^n-1}(\alpha^{\frac{(q^n-1)j}{q-1}}) \\ & \quad + \chi_{q-1}(a^{-(q-1)}) \sum_{\substack{\alpha \in \mathbb{F}_{q^n} \\ \alpha \notin V}} \chi_{q^n-1}(\alpha^{q^n-1}) \\ &= A + B + (q^n - |V|) \end{aligned}$$

where

$$A = \sum_{j=1}^{q-1} \sum_{c \in \mathbb{F}_q^*} \chi_{q-1}(a^{-j}) \psi_0(-cb) \sum_{\substack{\alpha \in \mathbb{F}_{q^n} \\ \alpha \notin V}} \chi_{q^n-1}(\alpha^{\frac{(q^n-1)j}{q-1}}) \tilde{\psi}_0(c\alpha)$$

$$\text{and } B = \sum_{j=1}^{q-2} \chi_{q-1}(a^{-j}) \sum_{\substack{\alpha \in \mathbb{F}_{q^n} \\ \alpha \notin V}} \chi_{q^n-1}(\alpha^{\frac{(q^n-1)j}{q-1}}).$$

As it is not possible to write  $cx$  in the form of  $H(x)^{q^n} - H(x)$  for any  $c \in \mathbb{F}_q^*$  and  $H(x) \in \mathbb{F}(x)$ , so we get  $|A| \leq (q-1)^2 q^{\frac{n}{2}}$  using Lemma 2. Now we determine an upper bound for  $B$ .

$$B = \sum_{j=1}^{q-2} \chi_{q-1}(a^{-j}) \left\{ \sum_{\alpha \in \mathbb{F}_{q^n}^*} \chi_{q^n-1}(\alpha^{\frac{(q^n-1)j}{q-1}}) - \sum_{\alpha \in V_1} \chi_{q^n-1}(\alpha^{\frac{(q^n-1)j}{q-1}}) \right\}$$

$$|B| \leq (q-2)(|V| - 1).$$

Thus

$$|\chi_{1,1,1,a,b} - (q^n - |V|)| \leq (q-2)(|V| - 1) + (q-1)^2 q^{\frac{n}{2}}$$

Therefore

$$\begin{aligned} & N_{f,a,b}(R_{l_1}, l_2, S_g) \\ & \geq \frac{\theta(l_1)\theta(l_2)\Theta(g)}{q(q-1)} \{q^n - (q-1)(|V| - 1) - 1 - (q-1)^2 q^{\frac{n}{2}} \\ & \quad + (q-1)(m+1)q^{\frac{n}{2}+1}(W(R_{l_1})W(l_2)W(S_g) - 1)\} \\ & \geq \frac{\theta(l_1)\theta(l_2)\Theta(g)}{q(q-1)} \{q^n - 1 - (q-1)\{(|V| - 1) - (q-1)q^{\frac{n}{2}} \\ & \quad + (m+1)q^{\frac{n}{2}+1}(W(R_{l_1})W(l_2)W(S_g) - 1)\}\} \\ & \geq \frac{\theta(l_1)\theta(l_2)\Theta(g)}{q(q-1)} \{q^n - 1 - (q-1)\{(m-1) - (q-1)q^{\frac{n}{2}} \\ & \quad + (m+1)q^{\frac{n}{2}+1}(W(R_{l_1})W(l_2)W(S_g) - 1)\}\}. \end{aligned}$$

Hence  $N_{f,a,b}(R_{l_1}, l_2, S_g) > 0$ , whenever

$$q^{\frac{n}{2}-2} > (m+1)W(R_{l_1})W(l_2)W(S_g).$$

**Corollary 1.** For any  $f(x) \in S_{q^n}(m)$ , any primitive element  $a \in \mathbb{F}_q^*$  and  $b \in \mathbb{F}_q^*$ , the pair  $(q, n) \in T_{m,a,b}$  if

$$q^{\frac{n}{2}-2} > (m+1)W(R)W(q^n-1)W(S). \quad (2)$$

Clearly, (2) can not be used to discuss the cases when  $n < 5$ . However, when  $n$  is 1 or 2, the primitive normal pairs become just the primitive pairs. Therefore  $n \geq 3$  can be assumed, but inequality (2) does not settle the case when  $n$  is 3 and 4. From now on, we assume that  $n \geq 5$ . Lemma 5 and 6 provide upper bounds for  $W(x^n-1)$  and  $W(\mathcal{M})$ , respectively. We will use these results in the rest of the article.

**Lemma 5.** [7] Let  $n \in \mathbb{N}$ , and  $q$  be a prime power. Then

$$W(x^n-1) \leq 2^{\frac{1}{2}(n+\gcd(n,q-1))}.$$

Particularly,  $W(x^n-1) \leq 2^n$ , and equality holds only when  $n|(q-1)$ . Furthermore,  $W(x^n-1) \leq 2^{3n/4}$  if  $n \nmid (q-1)$ .

**Lemma 6.** [4] Let  $\mathcal{M} \in \mathbb{N}$ , and  $\nu$  be a positive real number. Then

$$W(\mathcal{M}) \leq C \cdot \mathcal{M}^{1/\nu},$$

where  $C = \prod_{j=1}^s \frac{2}{p_j^{1/\nu}}$  and  $p_1, p_2, \dots, p_s$  are the primes smaller than  $2^\nu$  that divide  $\mathcal{M}$ .

Since  $R$  is the largest divisor of  $q^n-1$ , which is coprime to  $q-1$ ,  $R = \frac{q^n-1}{(q-1)\gcd(n,q-1)}$ . Next,  $S$  is the largest divisor of  $x^n-1$  coprime to  $x-1$ . Therefore,  $W(S) = W(x^n-1)/2$ . We propose Proposition 1, which shows that  $T_{m,a,b}$  is non-empty except for finitely many choices of  $(q, n)$ , although the proof is similar to [1, Proposition 3.1].

**Proposition 1.** Let  $q$  be a prime power and  $n \geq 5$ , then  $(q, n) \in T_{m,a,b}$  except for finitely many pairs  $(q, n)$ .

#### IV. SIEVING RESULT

Condition (2) is further improved in Theorem 1, and the proof is alike [8, Theorem 3.4].

**Theorem 1.** Suppose  $l|R$  and  $p'_1, p'_2, \dots, p'_r$  are the remaining primes dividing  $R$ , and  $l|q^n-1$  and  $p_1, p_2, \dots, p_s$  are the remaining primes dividing  $q^n-1$ . Also, let  $E|S$  and  $P_1, P_2, \dots, P_t$  are the remaining irreducible polynomials that divide  $S$ . Take  $\delta = 1 - \sum_{i=1}^r \frac{1}{p'_i} - \sum_{i=1}^s \frac{1}{p_i} - \sum_{i=1}^t \frac{1}{q^{\deg(P_i)}}$  and

$\Delta = \frac{r+s+t-1}{\delta} + 2$ . Assume  $\delta > 0$ , then  $N_{f,a,b} > 0$  if

$$q^{\frac{n}{2}-2} > (m+1)\Delta W(l')W(l)W(E). \quad (3)$$

#### V. WORKING EXAMPLE

This section demonstrates a numerical example of the above results by working with the rational functions with degree sum 4. From Lemma 5 and 6, inequality (2) becomes equivalent to

$$q^{n/2-2} > 5C^2 q^{2n/\nu} 2^{n-1}. \quad (4)$$

For simplicity of the calculations, we assume that  $q = 11^k$ . First, we discuss the case when  $n \geq 7$  and prove Lemma 7.

**Lemma 7.** Let  $q = 11^k$ , and  $k, n \in \mathbb{N}$  such that  $n \geq 7$ . Then  $(q, n) \in T_{4,a,b}$  except possibly  $(11, 7), (11, 8), (11, 10)$ .

*Proof:* Let  $\nu = 10$  in Lemma 6, and then we have  $C \leq 1.11 \times 10^9$ . Hence inequality (4) becomes  $q^{n/2-2} > 5 \times (1.11 \times 10^9)^2 q^{2n/10} 2^{n-1}$ , which is true when  $k \geq 198$  and  $n \geq 7$ . For  $k \leq 197$ , we find the values of  $n_k$  such that this inequality is satisfied for  $n \geq n_k$ . Now for these values of  $k$  and  $n_k$ , we check inequality (5)

$$q^{n/2-2} > 5 \times (1.11 \times 10^9)^2 q^{2n/10} W(x^n-1)/2. \quad (5)$$

The above inequality (5) is valid for  $n_k = 7$  when  $k \geq 13$ , and for other values of  $n_k$  when  $1 \leq k \leq 12$ , which are tabulated in Table I. Further, we verify  $q^{n/2-2} > 5W(R)W(q^n-1)W(S)$  for these values and find the following exceptions.

$$q = 11 \text{ and } n = 7, 8, 9, 10, 12, 14, 15, 16, 20, 24$$

$$q = 11^2 \text{ and } n = 7, 8, 9, 10, 12$$

$$q = 11^3 \text{ and } n = 7, 8$$

For the above exceptional values of  $q$  and  $n$ , we select  $l', l$ , and  $E$  as listed in the Appendix such that inequality (3) is satisfied. Hence we can say that the only exceptional pairs are  $(11, 7), (11, 8), (11, 10)$ . ■

TABLE I  
VALUES OF  $n_k$  WHEN  $1 \leq k \leq 12$

$k$	1	2	3	4	5	6	7	8	9	10	11	12
$n_k$	49	31	20	16	12	11	10	9	9	9	8	8

For  $n = 5$ , if we choose  $\nu = 21.6$  then Lemma 6 provides  $C \leq 1.27 \times 10^{4994}$ . Now inequality (4) is satisfied when  $k \geq 259012$  and  $n = 5$ . For  $n = 6$ , we assume  $\nu = 14$  and check that inequality (4) is true when  $k \geq 926$ . For the remaining values of  $k$ , when  $n$  is 5 and 6, we have to check the inequality (2), which requires the exact calculation of  $W(q^n-1)$ . These computations demand a large amount of time and memory. So we omit these cases here and propose the following conjecture for  $n \geq 7$ .

**Conjecture 1.** Let  $q = 11^k, k \in \mathbb{N}$  and  $n \geq 7$ . Assume  $f(x) \in S_{q^n}(4)$ . Then  $(q, n) \in T_{4,a,b}$  unless  $(11, 7), (11, 8)$  and  $(11, 10)$ .

**Remark 1.** For  $q = 11^k, k \in \mathbb{N}, n = 5, 6$ , we verified inequality (3) for  $k \leq 25$ . These computations suggest that all the pairs  $(q, n)$  are in  $T_{4,a,b}$  except possibly  $(11, 5), (11^2, 5), (11^3, 5), (11^4, 5), (11, 6), (11^2, 6), (11^3, 6)$ .

APPENDIX

TABLE II

PAIRS $(q, n)$ SATISFYING $q^{\frac{n}{2}-2} > 5\Delta W(l')W(l)W(E)$				
$(q, n)$	$l'$	$l$	$E$	$\Delta$
(11, 9)	1	2	1	21.9622513324522
(11, 12)	6	30	1	74.5523562157433
(11, 14)	6	6	1	18.6785777285348
(11, 15)	7	2	$x + 2$	65.2353275170198
(11, 16)	2	6	$x + 1$	50.1051340406700
(11, 20)	6	6	$x^2 + 3x + 2$	227.333807794329
(11, 24)	6	6	$x + 1$	388.277468595869
(11 <sup>2</sup> , 7)	43	2	1	20.0438499599651
(11 <sup>2</sup> , 8)	17	2	1	49.3288379439818
(11 <sup>2</sup> , 9)	3	6	1	43.3670106551059
(11 <sup>2</sup> , 10)	61	6	1	24.5843102799047
(11 <sup>2</sup> , 12)	7	6	1	130.480024911173
(11 <sup>3</sup> , 7)	43	10	1	22.6388740148260
(11 <sup>3</sup> , 8)	6	6	1	57.4446091126709

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